

5.4 Concavity and the Second Derivative



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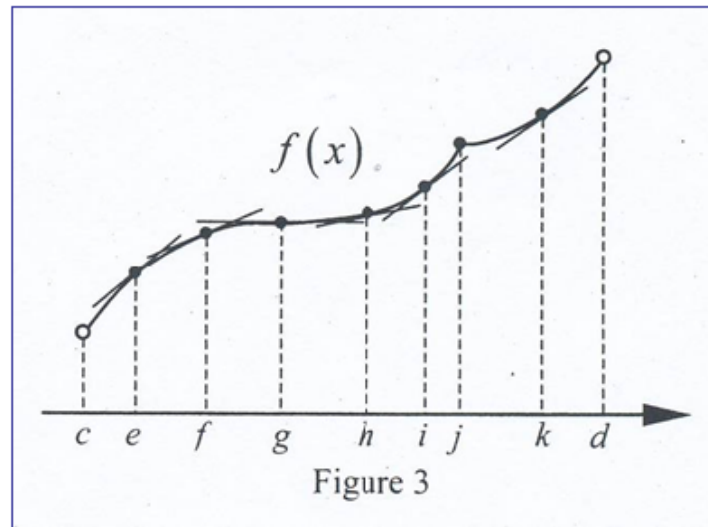
Learning Targets:

1. SWBAT find intervals of concavity using the second derivative test.
2. SWBAT find inflection points using the second derivative test.
3. SWBAT find relative extrema using the second derivative test.



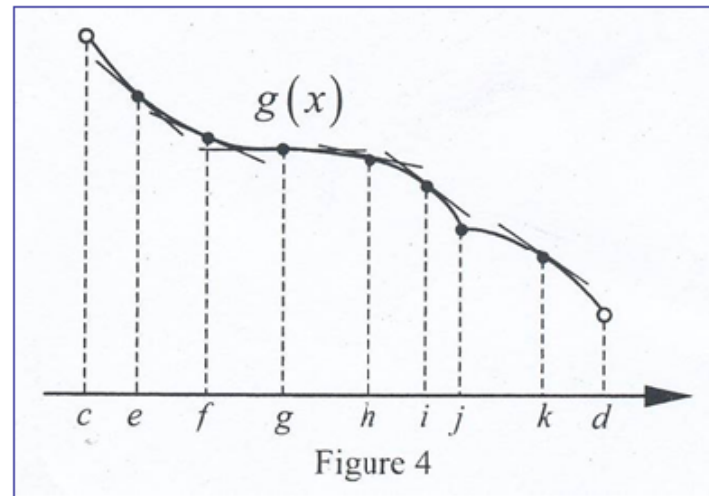


A function is said to be **increasing** if the graph is “**going uphill**”.



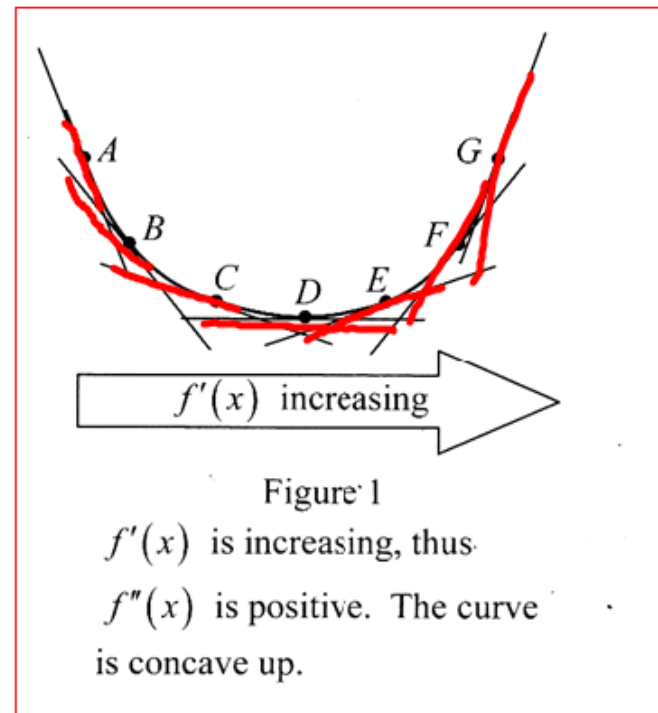
If $f'(x) > 0$ for all x in an open interval, then $f(x)$ is increasing on this open interval.

A function is said to be **decreasing** if the graph is “**going downhill**”.



If $f'(x) < 0$ for all x in an open interval,
then $f(x)$ is decreasing on this open interval.

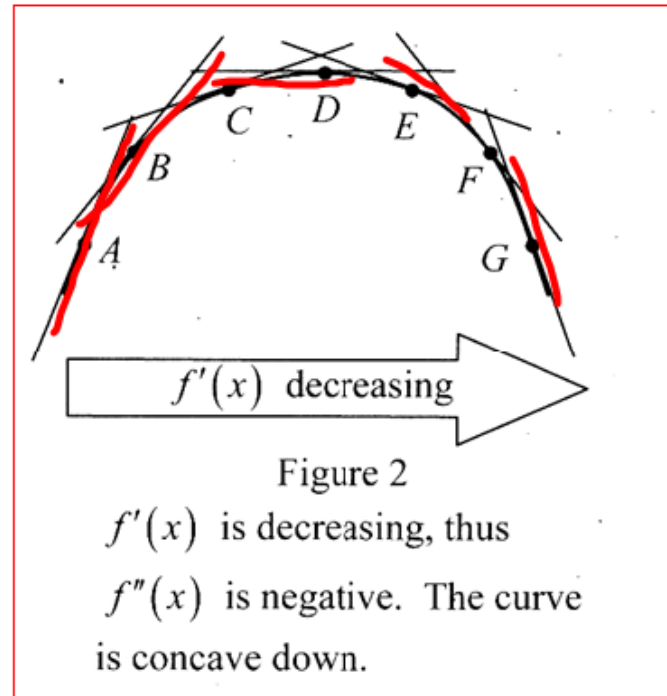
A function is said to be **concave up** if the graph is shaped like a “**valley**”.



$f''(x) > 0$
 f' inc
CU

If $f''(x) > 0$, for all x in an interval I ,
then $f(x)$ is concave up.

A function is said to be **concave down** if the graph is shaped like a “hill”.



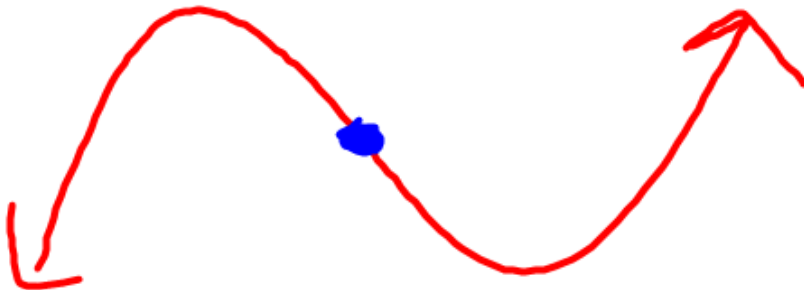
$f'' < 0$
 f' dec
CD

If $f''(x) < 0$, for all x in an interval I ,
then $f(x)$ is concave down.

Inflection Points

Inflection Points or **IP's** are points where the concavity of a function changes.

If inflection points exist, they will occur where $f''(x) = 0$ or where $f''(x)$ does not exist.



Ex.1 Determine where the following functions are **concave up**, **concave down** and find all **IP's**

$$a) y = x^3 - 3x^2 + 4x - 5$$

$$y' = 3x^2 - 6x + 4$$

$$y'' = 6x - 6$$

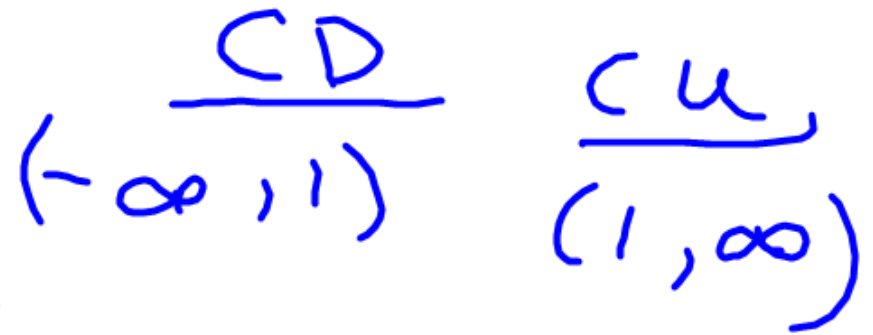
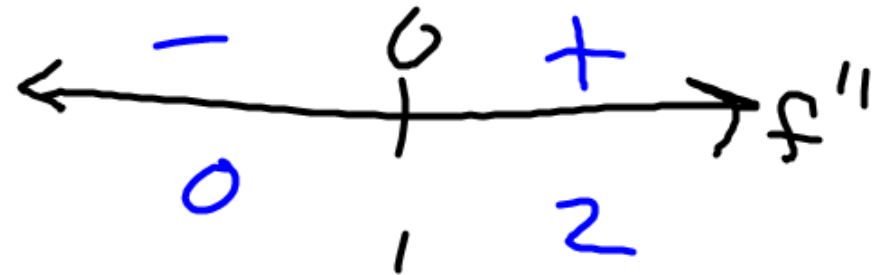
$$y'' = 0$$

$$6x - 6 = 0$$

$$6x = 6$$

$$x = 1$$

~~$y'' = 0$~~



$$\frac{IP}{f(1) = -3}$$

$$\text{b) } y = \frac{x}{x^2 + 1}$$

$$y' = \frac{(x^2 + 1)(1) - x(2x)}{(x^2 + 1)^2}$$

$$y' = \frac{1 - x^2}{(x^2 + 1)^2}$$

$$y'' = \frac{(x^2 + 1)(-2x) - (1 - x^2) \cdot 2(x^2 + 1) \cdot 2x}{(x^2 + 1)^3}$$

$$= \frac{-2x^3 - 2x - 4x + 4x^3}{(x^2 + 1)^3}$$

$$y'' = \frac{2x^3 - 6x}{(x^2 + 1)^3} = \frac{2x(x - \sqrt{3})(x + \sqrt{3})}{(x^2 + 1)^3}$$

$$\underline{y'' = 0}$$

$$2x^3 - 6x = 0$$

$$2x(x^2 - 3) = 0$$

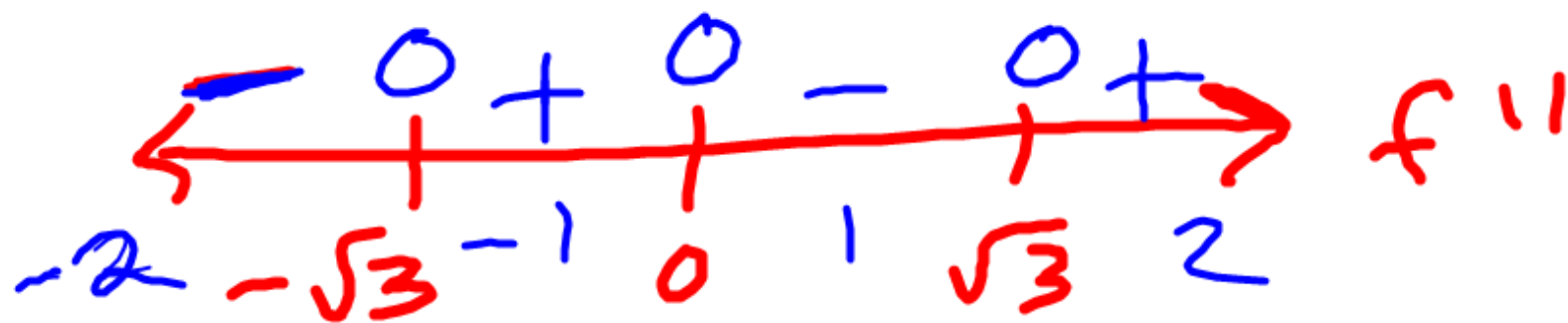
$$2x(x - \sqrt{3})(x + \sqrt{3}) = 0$$

$$x = 0$$

$$x = \sqrt{3}$$

$$x = -\sqrt{3}$$





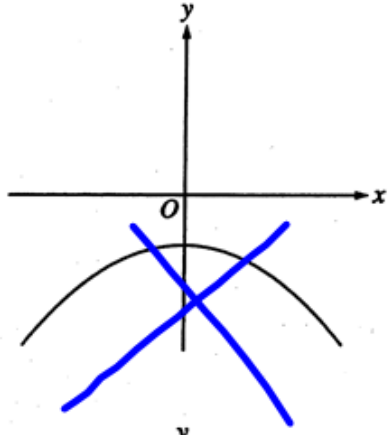
CD
 $(-\infty, -\sqrt{3}) \cup (0, \sqrt{3})$

CU
 $(-\sqrt{3}, 0) \cup (\sqrt{3}, \infty)$

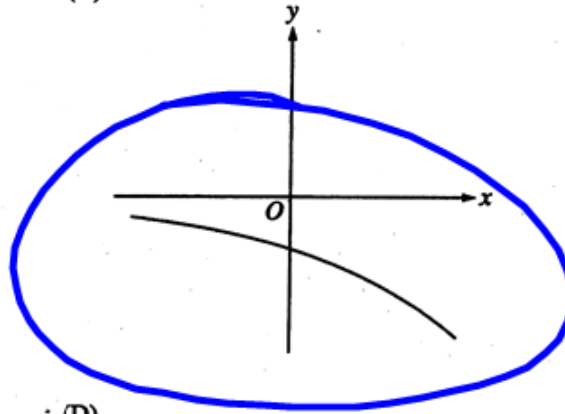
IP
 $f(-\sqrt{3}) = -\frac{\sqrt{3}}{4}$
 $f(0) = 0$
 $f(\sqrt{3}) = \frac{\sqrt{3}}{4}$

10. The function f has the property that $f(x)$, $f'(x)$, and $f''(x)$ are negative for all real values x . Which of the following could be the graph of f ?

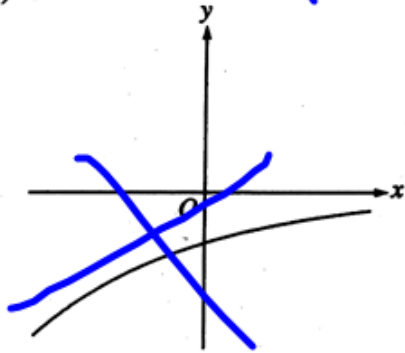
(A)



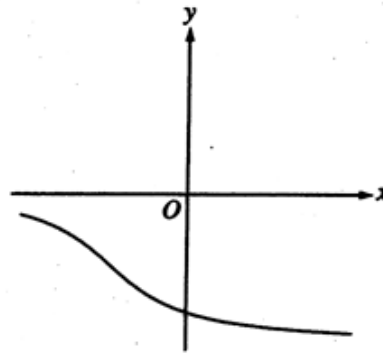
(B)



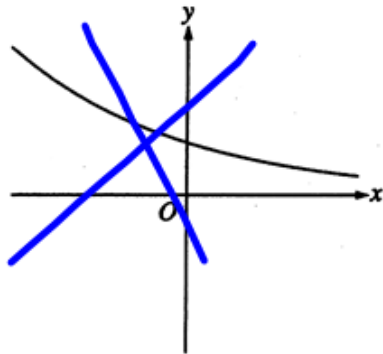
(C)



(D)



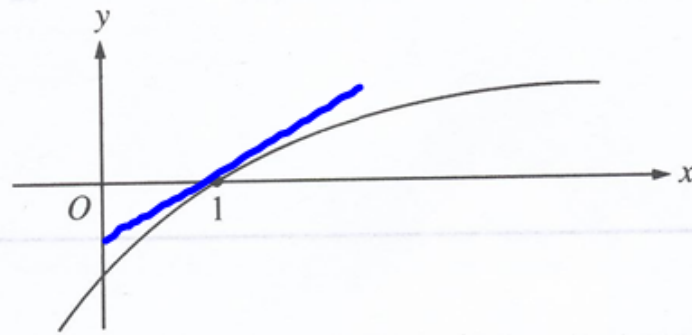
(E)



$$f(x) < 0$$

$$f'(x) < 0$$

$$f''(x) < 0$$



17. The graph of a twice-differentiable function f is shown in the figure above. Which of the following is true?

- (A) $f(1) < f'(1) < f''(1)$
- (B) $f(1) < f''(1) < f'(1)$
- (C) $f'(1) < f(1) < f''(1)$
- (D) $f''(1) < f(1) < f'(1)$
- (E) $f''(1) < f'(1) < f(1)$

$$f(1) = 0$$

$$f'(1) > 0$$

$$f''(1) < 0$$

Assignment
Page 246
#’s 1 a-f,
4,5,6,8,11,12,14

Second Derivative Test For Local Maximums and Local Minimums

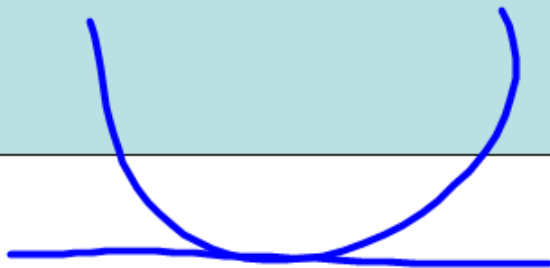


We can use the **second derivative** to also help us find **local maximums** and **minimums**.

Suppose $f(x)$ is a continuous function in an interval containing "c" and:

$f'(c) = 0$ and $f''(c) < 0$, then "c" is a local maximum.

$f'(c) = 0$ and $f''(c) > 0$, then "c" is a local minimum.



Ex.2 Use the **second derivative test** to determine any **local maximums and minimums**.

$$f(x) = \frac{1}{4}x^4 - x^3$$

$$f' = x^3 - 3x^2$$

$$\underline{f' = 0}$$

$$x^3 - 3x^2 = 0$$

$$x^2(x - 3) = 0$$

$$x = 0 \text{ OR } x = 3$$

$$f'' = 3x^2 - 6x$$

$$f''(3) = 3(3)^2 - 6(3) > 0$$

∪ ∴ $x = 3$
rel min

$$f''(0) = 3(0)^2 - 6(0) = 0$$

Neither

Graph of $f(x)$

1. Where is $f(x)$ increasing?

$$(1, 3) \cup (4, 6)$$

2. Where is $f(x)$ decreasing?

$$(0, 1) \cup (3, 4) \cup (6, 10)$$

3. Where is $f(x)$ concave up?

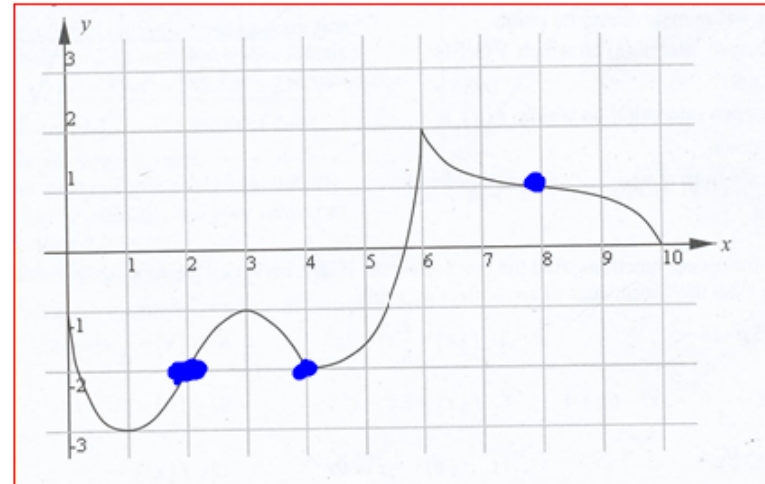
$$(0, 2) \cup (4, 8)$$

4. Where is $f(x)$ concave down?

$$(2, 4) \cup (8, 10)$$

5. What are the x coordinates of the inflection points of $f(x)$?

$$x = 2, 4, 8$$



Justify

1. Where is $f(x)$ increasing?

$$(5.5, 10) \quad f'(x) > 0$$

2. Where is $f(x)$ decreasing?

$$(0, 5.5) \quad f'(x) < 0$$

3. Where is $f(x)$ concave up?

$$(1, 3) \cup (4, 6) \quad f' \text{ inc}$$

4. Where is $f(x)$ concave down?

$$(0, 1) \cup (3, 4) \cup (6, 10) \quad f' \text{ dec}$$

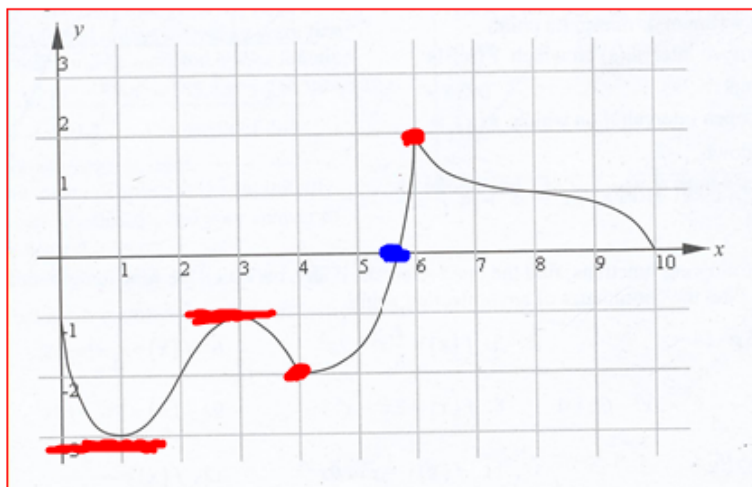
5. What are the x coordinates of the inflection points of $f(x)$?

$$x = 1, 4 \quad f' \Delta \text{'s dec to inc}$$

$$x = 3, 6 \quad f' \Delta \text{'s inc to dec}$$

*

Graph of $f'(x)$



6. What are the x coordinates of any relative max and mins?

$x = 5.5$
rel min $f' \Delta$'s
- to +.

Graph of $f''(x)$

1. Where is $f(x)$ concave up?

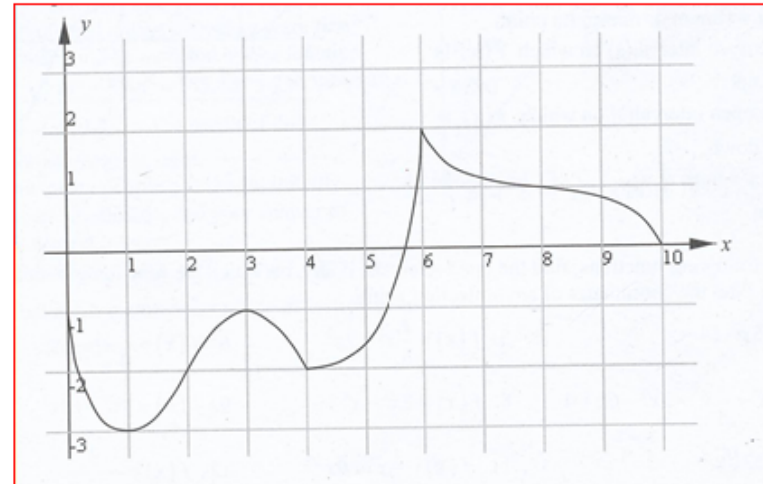
$$(5.5, 10) \\ f'' > 0$$

2. Where is $f(x)$ concave down?

$$(0, 5.5) \quad f'' < 0$$

3. What are the x coordinates of the inflection points of $f(x)$?

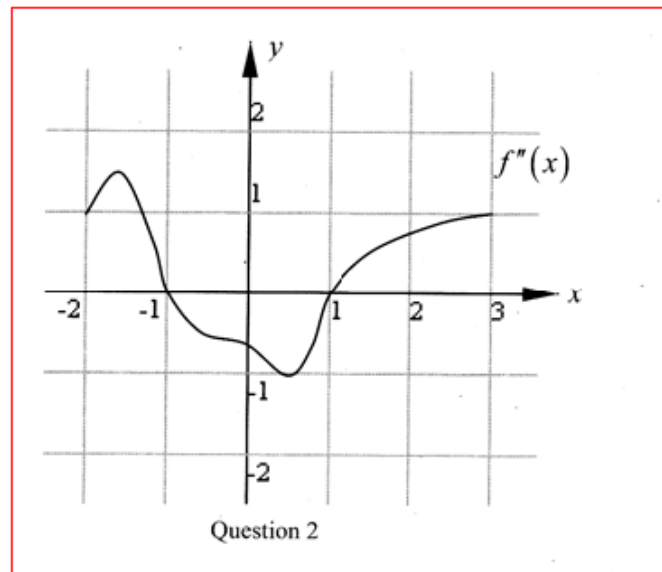
$$x = 5.5 \quad f'' \text{ \(\Delta\)'s from } - \text{ to } +.$$



Assignment Pages 246-247

#'s 2,3,16,17,18,19

2. Shown at right is the graph of $f''(x)$ on the interval $[-2, 3]$. Answer the following questions about $f(x)$. Explain your reasoning.
- (a) State the open interval(s) on which $f(x)$ is concave up.
 - (b) State the open interval(s) on which $f(x)$ is concave down.
 - (c) State the x -value(s), if any, at which $f(x)$ has an inflection point.



3. Shown below right is the graph of $f'(x)$ on the interval $[-3,3]$. Answer the following questions about $f(x)$. Explain your reasoning.

- (a) State the open interval(s) on which $f(x)$ is increasing.
- (b) State the open interval(s) on which $f(x)$ is decreasing.
- (c) State the x -value(s), if any, at which $f(x)$ has a relative maximum or minimum point.
- (d) State the open interval(s) on which $f(x)$ is concave up.
- (e) State the open interval(s) on which $f(x)$ is concave down.
- (f) State the x -values at which $f(x)$ has a point of inflection.

